

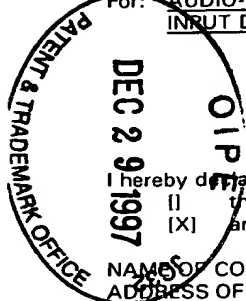
Applicant or Patentee: PAUL ANSON BROWN

Appln. No.:

Attorney's  
Docket No.: SPCO-100

Filed: October 31, 1997

For: AUDIO-VISUAL PROJECTOR CONTROL METHOD AND APPARATUS ALLOWING SELECTION AMONG MULTIPLE INPUT DEVICES



## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN SP Controls, Inc.

ADDRESS OF CONCERN 1327 Egret, Sunnyvale, California 94087

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled AUDIO-VISUAL PROJECTOR CONTROL METHOD AND APPARATUS ALLOWING SELECTION AMONG MULTIPLE INPUT DEVICES by inventor(s) PAUL ANSON BROWN described in

- ☒ the specification filed on 10/31/97 with title as listed above.  
☐ application no. , filed .  
☐ patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent, issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING PAUL ANSON BROWN

TITLE OF PERSON OTHER THAN OWNER \_\_\_\_\_

ADDRESS OF PERSON SIGNING 1327 Egret, Sunnyvale, California 94087

SIGNATURE: Paul Anson Brown

DATE: 11/16/97

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

on DECEMBER 22, 1997

LIMBACH & LIMBACH, L.L.P.

Dated: 12/22/97

By: Mikah G. Towne  
Name

RECORDATION FORM COVER SHEET  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul Anson Brown

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 6, 1997

2. Name and address of receiving party(ies):

Name: SP Controls, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1327 Egret

City Sunnyvale State California ZIP 94087

Additional Name(s) & address(es) attached?

☐ Yes

☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
08/963,001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alfred A. Equitz

Internal Address: Limbach & Limbach L.L.P.

Street Address: 2001 Ferry Building

City, State, ZIP: San Francisco, California 94111

Telephone: (415) 433-4150

Facsimile: (415) 433-8716

Attorney Docket No. SPCO-100

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$ 40.08

☒ Enclosed

☒ Charge any deficiencies in the enclosed fee to

Deposit Account No. 12-1420

☐ Authorized to be charged to deposit account

8. Deposit account number: 12-1420

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alfred A. Equitz

Name of Person Signing

Alfred A. Equitz

Signature

December 17, 1997

Date

Total number of pages including cover sheet, attachments and document: 2

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Mail documents to be recorded with required cover sheet information to:

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ASSIGNMENT

WHEREAS, I, Paul Anson Brown, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: AUDIO-VISUAL PROJECTOR CONTROL METHOD AND APPARATUS ALLOWING SELECTION AMONG MULTIPLE INPUT DEVICES

Application (Declaration/Oath) Execution Date: October 27, 1997  
Filing Date: Application No.:

WHEREAS, SP Controls, Inc., a corporation of the State of California, 1327 Egret, Sunnyvale, California 94087, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this assignment and transfer not been made, to the full end and term of any such Letters Patent.

Assignor further agrees that he/she will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 11/6/97

Paul Anson Brown  
Paul Anson Brown

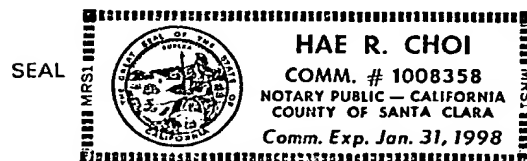
State of California

County of Santa Clara

On 6th day of November, 1997 before me, Hae R Choi - Notary Public, personally appeared Paul Anson Brown personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Hae R Choi  
Signature of Notary



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